

# Another Look: Research to Improve Health for Older Adults in Long Term Care Facilities

#### **APPLICATION INSTRUCTIONS**

#### **Program Overview**

The Donaghue Foundation is pleased to offer the **Another Look** grant program in 2020. This program provides funding for health-related research projects that can improve the quality of care and the quality of life for adults who are 65 year or older and who are long term residents living in nursing homes, assisted living, and other congregate care facilities.

This program requires researchers to rely on existing datasets to address the research question they seek to answer. Limited collection of new data is allowed in this program if it will enhance the utility of the project outcomes to improve quality in care facilities.

Researchers applying for this grant must include a stakeholder in the care delivery or policy arena with whom they will collaborate and who is willing to work with the researcher to develop products such as infographics, tool kits or training materials that are based on the research findings and could be used by those stakeholders to improve quality of care or quality of life for residents in long term care.

To date, the Donaghue Foundation has made 31 Another Look awards totaling approximately \$4.2 million. In 2020, the Foundation will invest approximately \$750,000 in this grant program. Our goal is to award four to five projects.

#### **Application Format**

The application includes forms as well as narrative sections that must all be completed according to instructions. **Please refer to the Application Checklist and use all the applicable forms in completing your application**. A full set of forms follows these instructions.

Applicants must submit their application electronically to the Foundation by 5:00pm EST on June 24, 2020. Your electronic submission should contain three folders only. Please do not include a cover letter.

- **1.** The **application** should be labeled "**PILastName\_df\_app\_AL\_submission year**" e.g., Smith\_DF app\_AL\_2020. Your document footer should also contain this file name.
- 2. All publications should be housed together in one file labeled "PILast Name\_df\_publications."
- **3.** Finally, all **letters of support** should be housed in one file labeled "**PILast Name\_df\_letters of support**." Letters should be in PDF format on letterhead and contain signatures.

If you have any questions about any of the submissions requirements, you may contact Stacy Cloud, Grants Administrator at (860)521-9011 or cloud@donaghue.org.

**Complete the following steps only after receiving an invitation to submit an application.** Submissions that do not comply with all requirements will be returned to the applicant and no corrected submission will be accepted.

## Applications must include all numbered sections in the order specified below:

## Section 1: Application Face Sheet (form)

The electronic form provided must be signed by the principal investigator and responsible institutional officer. An e-signature is acceptable. Please note that signatures on the face sheet confirms that Donaghue policies are accepted by the PI and the institution.

## Section 2:

## a. Project Summary (narrative)

This summary must not exceed 250 words and must include a concise, non-technical statement of the following: 1) the research question(s) that will be addressed by the project, 2) study design and methodologies, and 3) the intended use of the knowledge gained from the project. Applicants should be aware that this section may be used by the Donaghue Foundation in newsletters, annual reports and social media for those projects that are awarded a grant.

## b. Stakeholder Organization (narrative)

Describe the stakeholder organization(s) and the individual(s) from the organization(s) who will be working with you on this grant. Describe the stakeholder role(s) in this project, why you chose to work with this stakeholder and how their participation might facilitate dissemination and eventual use of your findings in care delivery or policy. Include what you and your stakeholders see as potential opportunities to communicate your findings to academic and non-academic audiences and what forms that could take.

Include any relevant letters of cooperation in Section 7 and Curricula Vitae (abbreviated) or resume in Section 8.

## c. Glossary of Acronyms and Abbreviations (List)

Provide an alphabetical list of acronyms and abbreviations used in the application along with the full word or phrase.

## Section 3: Project Description (narrative)

The project description should not be more than six pages, excluding references, and should clearly state the research objectives, hypotheses, methods and procedures related to the project. Include all figures and tables within these pages. Supplemental material (including appendices) will not be accepted. Provide the following in this order with each subheading:

#### Introduction, background, and research question (use no more than one page for this section).

Briefly address the importance of the problem to be researched. Include a description of the current state of knowledge, noting if there have been meta-analyses or systematic reviews in the area and what their conclusions have been. State the research question to be tested and the implications of the proposed work including who the intended user of the research might be.

#### Description of dataset(s)

Describe the dataset(s) you will be using in your research. Include the purpose of the dataset, how and when the data were collected, any checks used to assure the validity of the data, and any non-random biases that might impact the data. Describe who owns the data and, if you are not the owner, what assurances you have that you will be able to use the data.

## Collection of new data

If you plan to collect new data, describe what you will be collecting and from whom, what you hope to learn, and how it will enhance the utility of the project outcomes to improve quality in care facilities. Indicate the percent of the total direct project funds being requested for this component of the study.

## Research plan, including all methods, and analyses

Identify all variables that will be used in the analysis and the analytic techniques to test the hypothesis. Describe how your research plan will account for any known covariates or suspected biases in the dataset that may affect the dependent variables Demonstrate that you have reviewed the research questions, protocols, sampling, data sets and data analyses to ensure that these do not inadvertently mask or exacerbate racial, ethnic or gender health inequities.

## **References & citations**

## Section 4: Budget Forms and Justification

The Donaghue Foundation will fund only those costs specific to conducting the proposed research described in the application. The Foundation will not pay to support facilities, equipment or salaries that are already supported through other funding mechanisms. Donaghue grant funds may not be intentionally applied for or used by any department or principal investigator when there are funds currently allocated or available for the proposed project (or which appear to be reasonably assured) from other sources. See Use of Grant Funds for additional information on funding policies (found in the For Grantees section of the Donaghue website <a href="https://donaghue.org/for-grantees/">https://donaghue.org/for-grantees/</a>).

The Foundation is willing to collaborate with other funding sources in support of a project, provided that the Foundation is given assurance that the aggregate of funding does not exceed the project's costs. The Foundation also allows for grantees to use subcontractors to conduct aspects of the work. Any organization that is listed as a funding collaborator or a subcontractor must attest to their intent to participate in the project in letter form as part of this application. The letter must be signed by an institutional officer and state the organization's willingness to comply with the requirements of the research plan, Foundation grant conditions, and their capacity to provide the resources and personnel specified in the application. (See Section 8 "Letters of Institutional Support.")

The request for Donaghue Foundation funding must be clearly accounted for in each budget category in the budget request forms that follow. In addition, a detailed description of the use of funds must be provided in the Budget Justification narrative. If the proposed project will be supported in part by other funders, use the Budget Justification to list these sources and amounts of funds.

Project costs associated with the use of subcontractors must be itemized in the category labeled Other Expenses on the budget forms.

## Section 4a: Annual Budget Form

Complete this form showing the funds requested from the Donaghue Foundation according to the categories described below for each 12 month period of funding. If consortium agreements or subcontract arrangements are included in the budget, mark the appropriate space on the Application Face Sheet and provide a separate detailed budget for each 12-month period.

<u>Personnel</u>: Requested salaries should be for full- or part-time professionals or technical assistants who are paid from the research projects to which they are assigned. Note: percent effort on a project may exceed the percent of total remuneration requested, but percent of total remuneration may not exceed percent effort. <u>Data Cost</u>: Include any data expenses in this section in addition to other supplies required for the conduct of the research project.

<u>Travel</u>: Funds to cover the cost of travel required for the research project may be included, as well as up to \$1,500 for travel to scientific meetings related to the project.

<u>Stakeholder-related expenses</u>: Funds provided directly to the stakeholder to cover expenses incurred for participation in this study.

<u>Indirect</u>: Indirect costs may be requested by the applicant institution up to 10% of direct costs exclusive of equipment purchases. Collaborators and subcontractor may also include overhead costs that is up to 10% of the consortium or subcontractor's direct costs exclusive of equipment purchases. Indirect costs may not be claimed by the applicant institution for direct costs which are used in a consortium budget.

<u>Other Expenses</u>: Use this category to list all other project expenses including those assigned to subcontractors. Itemize all subcontractor costs by category.

## Section 4b: Total Cost for Proposed Project

Roll up annual costs for each project year.

## Section 4c: Budget Justification

Provide a brief and clear explanation of all significant expenses in the budget. Also use this section to describe any in-kind resources that will be provided to undertake the project that are not listed as part of the expenses.

## Section 5: Research Ethics Preparation (narrative)

In a brief statement, please provide evidence of the Principal Investigator's specific education or training in research ethics, with specific attention to (1) protection of human research subjects, (2) avoidance of scientific misconduct, and (3) avoidance of conflicts of interest. If the PI is a clinician, please provide evidence of conversancy with health care ethics. If other personnel in the study have human subject responsibilities, reference their training as it relates to this function.

## Section 6: Informed Consent of Human Subjects (insert form)

Safeguarding the rights and welfare of human subjects in projects supported under awards from the Donaghue Foundation is the sole responsibility of the organization accountable for the funds awarded and administratively responsible for the conduct of the project. State whether it will be necessary to request institution review board approval for the research being conducted.

## Section 7: Letters of Support (attach as separate file)

From the principal investigator's institution: These letters must come from the principal investigator's department or section head who can attest to and monitor the PIs' work, time commitments and activities. The letter must indicate the principal investigator's qualifications to independently conduct the proposed research at the sponsoring institution and the level of commitment by the institution to support the researcher. The nature of appointment or other relationship, salary commitment if any, research time and space available, and amount of the researcher's time to be spent on the project must be explicitly stated. The researcher's total time spent and available must not exceed 100%. This letter must make clear the nature of the close and continuous collaborative relationship that exists between the principal investigator and the institution such that the continuity and depth of involvement are clear.

**From the stakeholder organization**: Provide a letter (or more, if needed) from the stakeholder organization that confirms the role it will undertake in the proposed project.

From any funding collaborators and/or subcontractors: Provide any supporting letters or materials for verification of funding collaborators and/or subcontractors that describe their support and relationship with the project.

## Section 8: Principal Investigator & Stakeholder's Curriculum Vitae (insert)

A curriculum vitae (abbreviated) for the principal investigator and for any collaborator(s) and stakeholder(s) listed in the applicant must accompany the application using the NIH biosketch format. If more suitable, the stakeholder listed in the application may submit a resume.

## Section 9: Publications (attach as separate file)

You may include up to three publications that will assist the Grant Review Committee in evaluating the principal investigator's ability to perform the work described.

All material not in compliance with the requirement listed above are ineligible for review.

To be considered, applications must be received in the Donaghue Foundation office by **5:00pm EST June 24**, **2020**. For further information on application procedures and forms, please visit our website www.donaghue.org, or contact Stacy Cloud, Grants Administrator at (860)521-9011 or cloud@donaghue.org.

## **Application Checklist**

	Section #	Format	Check ✔
Application Face Sheet	1	Form	
Project Summary	2a	Narrative	
Stakeholder Organization	2b	Narrative	
Glossary of Acronyms and Abbreviations	2c	List	
Project Description	3	Narrative	
Annual Budget	4a	Form	
Total Cost for the Proposed Project	4b	Form	
Budget Justification	4c	Narrative	
Research Ethics Preparation	5	Narrative	
Informed Consent of Human Subjects	6	Insert	
Letters of Institutional Support	7	Attach	
Principal Investigator Curriculum Vitae	8	Insert	
Publications	9	Attach	